

RESOLUTION NO. 94-25

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A RESOLUTION OF THE LODI CITY COUNCIL
APPROVING THE CITY OF LODI'S 1993/94
TRANSPORTATION DEVELOPMENT ACT CLAIM
FOR LOCAL TRANSPORTATION FUNDS

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BE IT RESOLVED, that the Lodi City Council does hereby approve the City's 1993/94 Transportation Development Act (TDA) claim for Local Transportation Funds (LTF) and State Transit Assistance Funds (STA) in the following amounts:

\$938,534 Local Transportation Funds (of which \$15,135 is unexpended carryover)

\$50,290 State Transit Assistance Funds; and

BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the subject Claim on behalf of the City of Lodi.

Dated: March 2, 1994

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I hereby certify that Resolution No. 94-25 was passed and adopted by the Lodi City Council in a regular meeting held March 2, 1994 by the following vote:

Ayes: Council Members - Davenport, Mann, Pennino, Snider
and Sieglock (Mayor)

Noes: Council Members - None

Absent: Council Members - None


Jennifer M. Perrin
City Clerk

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
P.O. Box 1010
Stockton, CA 95201-1010

FROM: City of Lodi
(applicant)

ADDRESS: 221 W. Pine Street Lodi, California 95240
(city, zip)

CONTACT PERSON: Jerry Glenn PHONE: (209) 333-6701

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 938,534 for fiscal year 1993-94, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: _____
BARTON MEAYS
Executive Director

Date: _____ 19__

Applicant: City of Lodi

Signed: *Jerry L. Glenn*
Name: Jerry L. Glenn

Title: Assistant City Manager

Date: March 2, 19 94

Attest:

Jennifer M. Perrin
Jennifer M. Perrin
City Clerk

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin County Council of Governments
P.O. Box 1010
Stockton, CA 95201-1010

FROM: City of Lodi
(applicant)

ADDRESS: 221 West Pine Street, Lodi, 95240
(city, zip)

CONTACT PERSON: Jerry Glenn PHONE: (209)333-6780

This claimant, qualified pursuant to Sections 99313.6, 99314.5 and 99314.6 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 50,290 for fiscal year 1993-94, to be drawn from the State Transit Assistance trust fund of San Joaquin County for the following purposes and in the following respective amounts:

Purposes

Amounts

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: BARTON MEAYS
Executive Director

Date: 19

Applicant: City of Lodi

Signed: Jerry L. Glenn

Name: Jerry L. Glenn

Title: Assistant City Manager

Date: March 2, 19 94

Attest: Jennifer M. Perrin
Jennifer M. Perrin
City Clerk

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 1993-94	\$	<u>968,121</u>
B. Pedestrian/Bicycle Apportionment		<u>20,169</u>
C. Previous Years' Unclaimed Apportionment		<u>-0-</u>
D. Unexpended Carryover		<u>15,135</u>
E. Total Available for 1993-94 Claim(s)		<u>1,003,424</u>
F. Less any LTF Already Claimed 1993-94		<u></u>
G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 1st column)	\$	<u>1,003,425</u>

II. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 1993-94	\$	<u>46,843</u>
B. Special Operator Apportionment 1993-94		<u>1,150</u>
C. Previous Years' Unclaimed Apportionment		<u>3,238</u>
D. Unexpended Carryover		<u></u>
E. Minus 2% of A. Claimed on Behalf of COG for Transit Planning		(<u>937</u>)
F. Total Available for 1993-94 Claim(s)		<u>50,294</u>
G. Less any STA Already Claimed 1993-94		<u>-0-</u>
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 2nd column)	\$	<u>50,294</u>

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	I. LTF	II. STA
I. PUBLIC TRANSPORTATION		
Article 4 (99260)-Operator ¹	<u>319,630</u>	<u>50,290</u>
Article 8 (99400(c)) Contractor operating	<u> </u>	<u> </u>
Article 8 (99400(e)) Contractor capital	<u> </u>	<u> </u>
II. PEDESTRIAN AND BICYCLE		
Article 3 (99234)	<u>20,169</u>	<u>N/A</u>
III. ROADS AND STREETS		
Article 8 (99400(a))	<u>598,735</u>	<u>N/A</u>
IV. OTHER		
Article 8 (99400(b) or 99400(d)	<u> </u>	<u>N/A</u>
////////////////////////////////////		
TOTAL THIS CLAIM	<u>938,534</u>	<u>50,290</u>
TOTAL AVAILABLE FOR THIS CLAIM (from page 8, I. G. and II. H.)	<u>1,003,425</u>	<u>50,294</u>
UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM)	<u>64,891</u>	<u>50,294</u>

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover and the purpose for which it is being reclaimed.

\$20,136 reclaimed for streets and roads

¹ Operators claiming STA funds must meet qualifying criteria (PUC Section 99314.6). Page 17 of this form must be completed.

PART I - PUBLIC TRANSPORTATION

PLEASE CIRCLE EITHER:
Article 4 Operator
Article 8 Contractor

FINANCIAL INFORMATION

		1992-93	1993-94
		PLEASE CIRCLE ACTUAL or ESTIMATE	BUDGET
I.	OPERATING REVENUE		
401	Passenger Fares	51,949	50,000
402	Special Transit Fares		
405	Charter Service Revenues		
406	Auxiliary Transportation Revenues (includes advertising)	2,574	
407	Non-Transportation Revenues	5,000	5,000
408	Tax Revenue (Specify: Property Tax Sales Tax (not TDA)		
409	Local Grants & Reimbursements Purchase of Service Local Transportation Fund(LTF)	20,979 252,446*	20,000 237,000
410	Local Special Fare Assistance		
411	State Cash Grants & Reimbursements State Transit Assistance (STA) Other		
412	State Special Fare Assistance		
413	Federal Grants & Reimbursements (Specify) UMTA Grants		237,000
430	Contributed Services (Not Cash)		
440	Subsidy from other Sector of Operations		
	TOTAL	332,948	550,000
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		447,680
	State Transit Assistance (STA)	50,675	50,290
	Local Transportation Fund (LTF)	68,849	82,630
	Non-Governmental Donations		
	TOTAL	119,524	580,600

* See last page for reconciliation of LTF Funds received

III. OPERATING EXPENSES

1992-93
PLEASE CIRCLE
Actual or Estimate 1993-94
Budget

501	Labor		
	Operators Salaries/Wages	<u>170,354</u>	<u>318,120</u>
	Other Salaries/Wages	<u> </u>	<u> </u>
502	Fringe Benefits	<u>19,058</u>	<u>123,180</u>
503	Services	<u>14,234</u>	<u>15,430</u>
504	Materials/Supplies		
	Fuels/Lubricants		
	Tires/Tubes		
	Other		
	} Maintenance	<u>32,834</u>	<u>47,270</u>
505	Utilities	<u> </u>	<u> </u>
506	Casualty/Liability Costs	<u>31,609</u>	<u>46,000</u>
507	Taxes	<u> </u>	<u> </u>
508	Purchased Transportation Service	<u>49,724</u>	<u> </u>
509	Miscellaneous Expenses	<u> </u>	<u> </u>
510	Expense Transfers	<u> </u>	<u> </u>
511	Interest Expense	<u> </u>	<u> </u>
512	Leases and Rentals	<u> </u>	<u> </u>
513	Depreciation/Amortization		
	Operator Funds	<u> </u>	<u> </u>
	Grant Funds	<u> </u>	<u> </u>
	TOTAL	<u>317,813</u>	<u>550,000</u>

IV. CAPITAL EXPENSES*

Debt Service	<u> </u>	<u> </u>
Land/Property Acquisition	<u> </u>	<u> </u>
Vehicles	<u>105,477</u>	<u>506,000</u>
Construction Street furniture		<u>47,500</u>
Other Radios, computers, furniture	<u>14,047</u>	<u>27,100</u>
TOTAL	<u>119,524</u>	<u>580,600</u>

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	Actual FY 1991-92	Actual/Est. FY 1992-93	Proposed FY 1993-94
1. <u>Patronage</u>			
a. Total Passengers	<u> </u>	<u>89,071</u>	<u>92,500</u>
b. Revenue Passengers	<u> </u>	<u>12,915</u>	<u>13,500</u>
c. Youth Passengers	<u> </u>	<u> </u>	<u> </u>
d. Elderly Passengers	<u> </u>	<u> </u>	<u> </u>
e. Handicapped Passengers	<u> </u>	<u>76,156</u>	<u>79,000</u>
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	<u> </u>	<u>206,879</u>	<u>210,000</u>
b. Revenue Vehicle Miles	<u> </u>	<u>206,879</u>	<u>210,000</u>
3. <u>Revenue Vehicle Hours</u>		<u>12,242</u>	<u>12,500</u>
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel	<u> </u>	<u> </u>	<u> </u>
b. Gasoline	<u> </u>	<u>17,818</u>	<u>18,100</u>
5. <u>Fare Structure</u>			
a. Base	<u> </u>	<u>1.00</u>	<u>1.00</u>
b. Zone	<u> </u>	<u> </u>	<u> </u>
c. Youth	<u> </u>	<u> </u>	<u> </u>
d. Senior	<u> </u>	<u>.50</u>	<u>.50</u>
e. Handicapped	<u> </u>	<u>.50</u>	<u>.50</u>
f. Monthly Pass	<u> </u>	<u> </u>	<u> </u>
g. Other	<u> </u>	<u> </u>	<u> </u>
h. Average Fare	<u> </u>	<u>.57</u>	<u>.57</u>

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	1994-95	1995-96	1996-97
Operating Expenses	\$ <u>629,000</u>	\$ <u>660,450</u>	\$ <u>694,000</u>
Operating Revenues:			
Sources: LTF	\$ <u>275,000</u>	\$ <u>284,225</u>	\$ <u>298,500</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u>275,000</u>	<u>284,225</u>	<u>298,500</u>
Fares	<u>57,000</u>	<u>70,000</u>	<u>75,000</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other	<u>22,000</u>	<u>22,000</u>	<u>22,000</u>
Total	\$ <u>629,000</u>	\$ <u>660,450</u>	\$ <u>694,000</u>
Capital Expenses	\$ <u>400,000</u>	\$ <u>400,000</u>	\$ <u>400,000</u>
Capital Revenue			
Sources: LTF	\$ <u>80,000</u>	\$ <u>80,000</u>	\$ <u>80,000</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u>320,000</u>	<u>320,000</u>	<u>320,000</u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>400,000</u>	\$ <u>400,000</u>	\$ <u>400,000</u>

Make & Model	Production Year	# of Veh.	Fuel Type	Seat Capacity	Special Features			
					AC	EP	WC	Other
Chev Caprice	1989	10019	Gas	9	X			
Dodge Caravan	1989	10020	Gas	5	X		X	
Dodge Diplomat	1989	10021	Gas	5	X			
Dodge Diplomat	1989	10023	Gas	5	X			
Dodge Caravan	1991	10024	Gas	5	X		X	
Chevy Caprice	1992	10025	Gas	9	X			
Chevy Caprice	1992	10026	Gas	9	X			
Ford E350	1993	10027	Diesel	12	X		X	
Ford E350	1993	10028	Diesel	12	X		X	
TOTAL	XXXXXXXXXX		XXXXX					

Vans	1994	2	Diesel	9	X		X	
Mini-buses	1994	5	Diesel	12	X		X	
Station Wagon	1994	1	Gasoline	5	X			

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ARTICLE 4 OPERATOR TDA REQUIREMENTS

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

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B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? yes

C. Has this system utilized its grace year? no

D. Has this system been in non-compliance with its required ratio? no

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.

B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed?

If so, has the required report been submitted for the most recently completed full fiscal year? _____ If not, that report must accompany this claim.

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

This is the first full year of operation whereby the City of Lodi is actually hiring drivers and dispatchers. Therefore the cost of salaries and benefits is greatly increased.

We have added vehicles and have had increases in insurance premiums which accounts for increased maintenance, fuel and insurance costs.

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

The City of Lodi is gearing up to begin a fixed route system which requires the purchase of additional vehicles.

1993-94 is the first year the City is hiring drivers and dispatchers which impacts costs.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses. Impossible

County- Exclude Lathrop service when calculating fares and expense.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

Project Title & Description	Project Listing	<u>LTF Cost</u> Total Cost
Sidewalk Replacement		\$ 20,169
	<u>LTF COST</u> TOTAL COST	: \$ 20,169 : \$ 20,169

(Use additional pages if necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

Project Title & Description	Project Listing	<u>LTF Cost</u> Total Cost
Street Maintenance		\$598,000
Church Street - Kettleman to Vine		234,000
Houston Street Overlay		111,000
Tokay Street - Church to Fairmont		115,000
Handicap Ramp Retrofit		5,000
	<u>LTF COST</u> :	
	TOTAL COST :	\$1,063,000.00

(Use additional pages if necessary)

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous parts. For instance, TDA funds may be claimed under Article 8, 99400(b) to subsidize AMTRAK service in a community, or under 99400(d) for administration and planning costs for Article 8 transit services. To complete this section, please identify the project, the purpose of the project, the estimated cost, and the fund from which money is being claimed. It is advisable to communicate with COG staff before completing this section.

WORKSHEET FOR 10% LTF SET-ASIDE

For use in determining a local jurisdiction's FY 1993-94 LTF contribution to regional transit.

- | | | |
|-----|--|----------------|
| 1. | Enter your Pedestrian/Bicycle Apportionment:
(Line I.B. on page 8 of the Claim form). | <u>20,169</u> |
| 2. | Enter your FY 1993-94 LTF Claim for Pedestrian and Bicycle purposes - Article 3 (99234) - exclude funds being "re-claimed" from unexpended carryover ¹ .
(Part II., Column 1 on page 9 of the Claim form.) | <u>20,169</u> |
| 3. | If line 2 is less than line 1, enter the difference. If line 2 is greater than or equal to line 1, enter the number "0". | <u>-0-</u> |
| 4. | Enter your FY 1993-94 LTF Area Apportionment.
(Line I.A. on page 8 of the Claim form.) | <u>968,121</u> |
| 5. | Add line 3 and line 4. | <u>968,121</u> |
| 6. | Enter your FY 1993-94 LTF Claim for Public Transportation purposes - [Article 4 (99260), Article 8 (99400(c)) and Article 8 (99400(e))] - exclude funds being "re-claimed" from unexpended carryover¹ . (Part I., Column 1 on page 9 of the Claim form.) | <u>319,630</u> |
| 7. | Subtract line 6 from line 5. | <u>648,491</u> |
| 8. | Enter your FY 1993-94 LTF Claim for Other purposes - Article 8 (99400(b)) or (99400(d)).
(Part IV., Column 1 on page 9 of the Claim form.) | <u>-0-</u> |
| 9. | Subtract line 8 from line 7. | <u>648,491</u> |
| | | <u>x 0.10</u> |
| 10. | Calculate 10% of line 9 (multiply line 9 by 0.10). | <u>64,849</u> |

Line 10 represents your FY 1993-94 LTF contribution to Regional Transit.

An amount equal to or greater than the figure on line 10 must remain as "Unclaimed Apportionment" in your FY 1993-94 LTF-TDA claim. (Column 1, last line labeled "Unclaimed Apportionment" on page 9 of the Claim form.)

¹ Unexpended carryover are funds claimed in a prior year but not spent. These funds must be acknowledged and re-claimed before new funds can be claimed.

RECONCILE LTF FUNDS 1992-93

Received 4/93		326,957
Less Article 8 Claim	5,300	
Less Capital Requirements	68,849	
Less Article 8 Overrun	362	
TOTAL		74,511
Available for Operations		252,446

CARRY OVER

1992-93 Revenues	332,948
1992-93 Expenditures	<u>317,813</u>
Carry Over	15,135